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Fax Transmittal

Date	January 12, 2006	Client-Matter	74622-029
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From	John C. Stellabotte		
Sender's Voice Number	212.969.3413		
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To:	Examiner: PATEL, JAGDISH		
Company:	United States Patent and Trademark Office - Group Art Unit 3624		
Message		Fax No.:	571.273.8300
		Main Fax Number	212.969.2900
		Voice No.:	571.272.4130

Re: Application of : Sanjay Deshpande, et al.
 Filing Date : December 10, 2001
 Serial No. : 10/008,269
 Title : SYSTEM AND METHOD FOR ENABLING
 CONTENT PROVIDERS IN A FINANCIAL
 SERVICES ORGANIZATION TO SELF-PUBLISH CONTENT
 Att'y Docket : 74622-029

Attached please find the following:

- 1) Power of Attorney and Correspondence Address Indication Form
- 2) Statement Under 37 CFR 3.73(b)

Please contact John C. Stellabotte at (212) 969-3413 if you have any questions.

FACSIMILE TRANSMISSION CERTIFICATE

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Name of person signing the certification

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Signature

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PTO/SB/81 (08-04)

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and
CORRESPONDENCE ADDRESS
INDICATION FORM

Application Number	10/008,269
Filing Date	December 10, 2001
First Named Inventor	Sanjay Deshpande
Title	SYSTEM AND METHOD FOR ENABLING PROVIDERS IN A FINANCIAL...
Art Unit	3824
Examiner Name	JAGDISH PATEL
Attorney Docket Number	74622-029

I hereby appoint:

 Practitioners associated with the Customer Number:

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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I am the:

 Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/88)

SIGNATURE of Applicant or Assignee of Record

Signature		Date	1/11/06
Name	Gregg I. Goldman	Telephone	203.719.3099
Title and Company	Director and Senior Counsel, Technology and Intellectual Property, UBS AG, Stamford Branch		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

 *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)

Sanjay Deshpande, et al

Applicant/Patent Owner:

Application No./Patent No.: 10/008,269 Filed/Issue Date: December 10, 2001

Entitled: SYSTEM AND METHOD FOR ENABLING CONTENT PROVIDERS IN A FINANCIAL SERVICES
ORGANIZATION TO SELF-PUBLISH CONTENT

UBS PaineWebber, Inc.

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

(Name of Assignee)

states that it is:

1. the assignee of the entire right, title, and interest; or
 2. an assignee of less than the entire right, title and interest.
 The extent (by percentage) of its ownership interest is _____ %

In the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013688, Frame 0047, or for which a copy thereof is attached.

OR
 B. A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as shown below:

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2. From: _____ To: _____
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Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.
 [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1/6/06

Date

203 719 3029

Telephone Number

Signature

Gregg L. Goldman

Printed or Typed Name

Director and Senior Counsel, UBS AG, Stamford Branch

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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